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SSB0001-US

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the U.S. Application of

John MARSHALL, et al.

Group Art Unit: Not Assigned

Serial No.: 09/749,742

Examiner: Not Assigned

Filed: December 28, 2000

For: METHOD AND SYSTEM FOR FILE BLOCKING IN AN ELECTRONIC
MESSAGING SYSTEM

INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §§ 1.97 AND 1.98

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Technology Center 2100

Commissioner of Patents
Washington, D.C. 20231

Sir:

In accordance with the requirements of 37 C.F.R. §§ 1.56, 1.97-1.98 and MPEP § 609,
the Applicants, through their attorneys, hereby bring to the attention of the Examiner the
references noted on the attached Form PTO-1449.

The Examiner is respectfully requested to initial in the space adjacent to the listing on
Form PTO-1449, and return a copy of the initialed Form PTO-1449 with the next communication
to Applicants, to confirm that these documents have been considered by the Examiner and made
of record in this application.

Since this statement is being filed before the first Office Action has been mailed, no fees
are believed to be necessary; however, the Commissioner is hereby authorized to charge any
additional fees under 37 C.F.R. 1.16 or 1.17 which may be required during the entire pendency of
this application, or to credit any overpayment, to Deposit Account No. 501458.

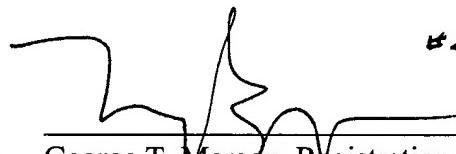
The above information is presented so that the United States Patent and Trademark Office may, in the first instance, determine any materiality thereof to the claimed invention. See 37 C.F.R. §§ 1.104(a) and 1.106(b) regarding the PTO's duty to consider and use any such information. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

Respectfully submitted,

John MARSHALL, et al.

Dated: 7/5/01

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44,465

Form PTO-1449 (Rev. 2-32)	U.S. Department of Commerce Patent & Trademark Office	Atty. Docket No. SSB0001-US	Serial No. 09/749,742
O 1 INFORMATION DISCLOSURE STATEMENT <i>(Use several sheets if necessary)</i>		Applicant J hn MARSHALL, et al.	
		Filing Date December 28, 2000	Group Not Yet Assigned

U.S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

	Document	Date	Country	Class	Sub-Class	Translation Yes/No
	JP 11-134190	05/01/99	Japan	G06F9/06; G06F13/00		No
	JP 11-167533	06/22/99	Japan	G06F13/00; G06F9/06; H04L12/54; H04L12/58		No
	JP 11-224190	08/17/99	Japan	G06F9/06; G06F15/00		No

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	International Application Published Under the PCT by WIPO, International Bureau on March 12, 1998, International Publication No. WO 98/10342.
	(Article) Copeland, "Organizations face holiday e-mail attachment deluge – Proper policies, tools needed to prevent network delays and virus transmission" <i>Computerworld</i> , Vol. 33, No. 51, p. 56, December 1999.
	(Article) Roberts, et al.. "First line of defense – Antivirus gateways can help you stop the spread of Internet-borne viruses before viruses stop your network," <i>PC Magazine-Network Edition</i> , Vol. 16, No. 7, pp. NE1-NE13, April 1997.
	(Article) Sengstack, "Make your PC hacker-proof," <i>PC World</i> , Vol. 18, No. 9, p. 169, September 2000.
	(Article) Krebs, "'Resume' virus impact negligible, but new threats emerge," <i>Newsbytes</i> , NA, May 30, 2000.
	(Article) Savage, "Outlook gets new security features to combat viruses," <i>Computer Reseller News</i> , No. 32, May 22, 2000.
	(Article) Creed, "Microsoft issues patch for outlook in wake of love bug," <i>Newsbytes</i> , NA, May 16, 2000.
	(Article) Chernicoff "Stop network attacks (tools for stopping computer viruses)," <i>Windows Sources</i> , Vol. 5, No. 7, p. 157, July 1997.
	(Brief Article Product Announcement) ELMA 1.0 from GROUP

DATE CONSIDERED